# Survey of Occupational Injuries and Illnesses, 2016



YOUR RESPONSE IS REQUIRED BY LAW IN 30 DAYS.

Please correct your company address as needed.

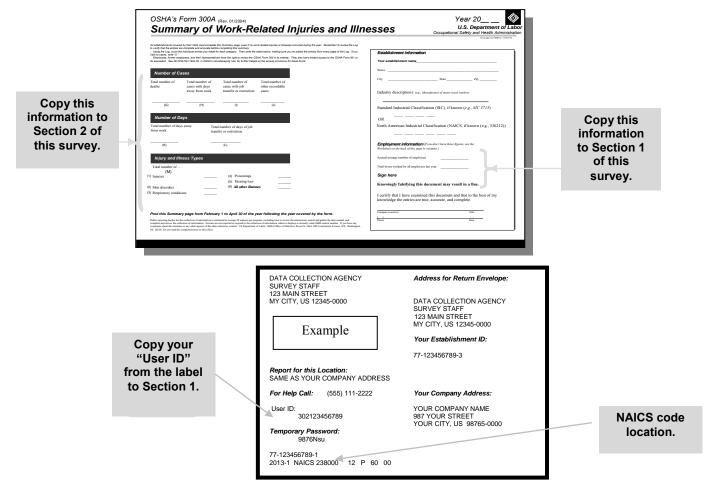
For your convenience, you can submit your survey response on our website at https://idcf.bls.gov.

We estimate it will take you an average of 24 minutes to complete this survey (ranging from 10 minutes to 5 hours per package), including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this information. If you have any comments regarding the estimates or any other aspect of this survey, including suggestions for reducing this burden, please send them to the Bureau of Labor Statistics, Occupational Safety and Health Statistics (1220-0045), 2 Massachusetts Avenue, N.E., Washington, DC 20212. Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number. **DO NOT SEND THE COMPLETED FORM TO THIS ADDRESS.** 

## **Steps to Complete this Survey**

This survey requires employers to provide information about work-related injuries and illnesses based upon the information you have maintained for Calendar Year 2016 on your Occupational Safety and Health Administration (OSHA) Forms for Recording Work-Related Injuries and Illnesses. Copies of these forms were sent to you in late 2015. Under Public Law 91-596, all establishments that receive this **mandatory** survey must complete and return it within 30 days, even if they had **no** work-related injuries and illnesses during 2016. The instructions below outline the steps to complete the survey regardless of whether your establishment did or did not have injuries or illnesses in 2016.

- Step 1: Complete this survey only for the establishment(s) noted on the front cover under "Report for this Location." If you are unsure, please call the number(s) listed on the front of this form in the "For Help Call:" section.
- **Step 2:** Check "**Your Company Address**" printed on the front cover. Make any necessary corrections directly on the front cover.
- **Step 3**: Refer to your establishment's OSHA *Forms for Recording Work-Related Injuries and Illnesses*. Copies of these forms were sent to you in late 2015. Form 300A from that mailing is shown immediately below.



- If you had no work-related injuries or illnesses in 2016, answer all questions in Sections 1 and 4 of the survey.
- If you had at least one work-related injury or illness in 2016, answer all questions in Sections 1, 2 and 4 of the survey.
- Report cases with Days Away From Work (with or without days of job transfer or restriction) in Section 3.
- Report cases with *Job Transfer or Restriction* (without days away from work) in Section 3 if you are reporting for a private industry establishment whose six-digit NAICS code begins with these numbers: 312, 452, 492, 562, 622, or 721 (see mailing label example for NAICS code location).
- **Step 4:** In case we have questions, write the name of the person who completed this survey in Section 4: Contact Information, on the last page of this survey.
- **Step 5:** Return this survey and any attachments in the enclosed envelope within 30 days of the date your establishment received it.

### Section 1: Establishment Information

**Instructions:** Using your completed Calendar Year 2016 *Summary of Work-Related Injuries and Illnesses* (OSHA Form 300A), copy the establishment information into the boxes. If these numbers are not available on your OSHA Form 300A, or if your establishment does not keep records needed to answer (2) and (3) below, you can estimate using the steps that follow on the next page.

1.	Enter your "User ID" from the front cover.			
2.	2. Enter the annual average number of employees for 2016.			
3. Enter the total hours worked by all employees for 2016.				
4. Check any conditions that might have affected your answers to questions 2 and 3 above during 2016:				
	<ul> <li>Strike or lockout</li> <li>Shutdown or layoff</li> <li>Seasonal work</li> <li>Natural disaster or adverse weather conditions</li> </ul>	<ul> <li>□ Shorter work schedules or fewer pay periods than usual</li> <li>□ Longer work schedules or more pay periods than usual</li> <li>□ Other reason:</li> <li>□ Nothing unusual happened to affect our employment or hours figure</li> </ul>		
5.	<ul> <li>Did you have ANY work-related injuries or illnesses during 2016?</li> <li>☐ Yes. Go to Section 2: Summary of Work-Related Injuries and Illnesses, 2016, directly below.</li> <li>☐ No. Go to Section 4: Contact Information, on the back cover.</li> </ul>			

# Section 2: Summary of Work-Related Injuries and Illnesses, 2016

#### **Instructions:**

- 1. Refer to the OSHA Forms for Recording Work-Related Injuries and Illnesses for the location referenced on the front cover of the survey under "**Report for this Location**." If you prefer, you may enclose a photocopy of your Summary of Work-Related Injuries and Illnesses (OSHA Form 300A).
- 2. If more than one establishment is noted on the front cover of this survey, be sure to include the OSHA Form 300A for all of the specified establishments.
- 3. If any total is zero on your OSHA Form 300A, write "0" in that total's space below.
- 4. The **total** Number of Cases recorded in G + H + I + J must equal the **total** Injury and Illness Types recorded in M(1+2+3+4+5+6).

Number of Cases			
Total number of deaths	Total number of cases with days away from work	Total number of cases with job transfer or restriction	Total number of other recordable cases
(G)	(H)	(I)	(J)
Number of Days Total number of days		Total number of days	
away from work		of job transfer or restriction	
(K)		(L)	
Injury and Illness Typ Total number of (M)	oes es		
(1) Injuries		(4) Poisonings	
(2) Skin disorders		(5) Hearing loss	
(3) Respiratory conditions		(6) All other illnesses	

If you had any work-related deaths in 2016, please tell us on the line below where you assigned/classified each death within the list of items (M1) through (M6) provided under *Injury and Illness Types* above (e.g., "fatal case was due to injury resulting from fall" or "death resulted from respiratory conditions")

## Steps to estimate annual average number of employees for 2016:

#### Step 1:

To calculate the annual average number of employees your establishment paid during 2016, you must calculate the total number of employees your establishment paid for all periods. Add the number of employees your establishment paid in every pay period during Calendar Year 2016. Count all employees that you paid at any time during the year and include full-time, part-time, temporary, seasonal, salaried, and hourly workers. Note that pay periods could be monthly, weekly, bi-weekly, etc.

#### Example:

Acme Construction paid its employees in 12 pay periods during 2016:

Pay Period Number of Employees Paid			
	Per Pay Period		
1	30		
2	0		
3	35		
4	37		
5	37		
6	40		
7	43		
8	42		
9	37		
10	35		
11	30		
12	<u>+26</u>		
	392 (total number of employees paid		
	over all pay periods)		
Evample:			

#### Step 2:

Divide the total number of employees (from Step 1) by the number of pay periods your establishment had in 2016. Be sure to count any pay periods when you had no (zero) employees.

#### Example:

Acme Construction had 12 pay periods and paid a total of 392 employees during these pay periods.

392 divided by 12 = 32.67

#### Step 3:

Round the answer you computed in Step 2 to the next highest whole number. Write that number in the box for Section 1, Question 2 on the previous page.

#### Example:

Acme would round 32.67 to 33.

# Steps to estimate total hours worked by all employees for 2016:

#### Step 1:

Determine the number of full-time employees at your establishment.

#### Example:

Of Acme's 33 employees in 2016, 28 were full-time.

#### Step 2:

Determine the number of hours generally worked by a full-time employee for a year. Multiply the number of full-time employees you calculated in Step 1 by this number. This total number of full-time hours worked should exclude vacation, sick leave, holidays, and any other non-work time.

#### Example:

Each of Acme's 28 full-time employees worked an average of 2,000 hours per year after excluding vacation, sick leave, holidays, and other non-work time. This works out to 40 hours per week for 50 weeks of the year.

28 full-time employees X 2,000 hours per year 56,000 total full-time hours

#### Step 3:

Determine the number of hours of overtime worked by your full-time employees.

Determine the number of regular hours worked by your non-full-time employees. (Non-full-time employees include part-time, seasonal, and temporary employees.)

Add these numbers to the number you calculated in Step 2 above. This is the estimated number of hours worked by all of your employees, full-time and non-full-time, during 2016. Write this number in Section 1, Question 3 on the previous page.

#### Example:

Acme's 28 full-time employees worked a total of 2,800 hours of overtime during 2016 and 56,000 regular hours. Acme's 5 part-time employees worked a total of 2,716 hours during 2016.

56,000 full-time hours from Step 2
2,800 over time hours
+ 2,716 part-time hours
61,516 total hours worked

## **Section 3: Reporting Cases**

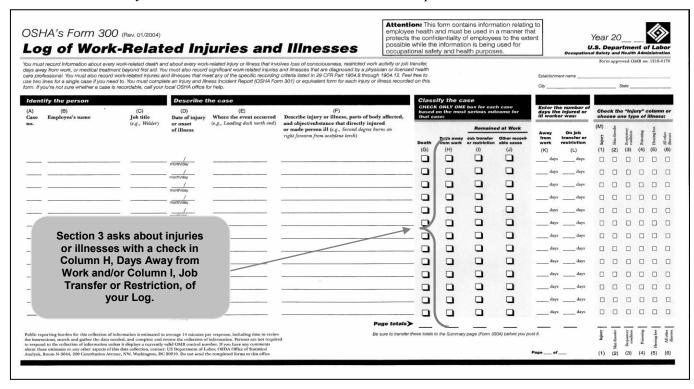
#### **Instructions:**

- 1. If you had **NO** cases with days away from work (Column H) and **NO** cases with days of job transfer or restriction (Column I), please proceed to Section 4: Contact Information.
- 2. If you had cases with days away from work (Column H) and/or cases with days of job transfer or restriction only (Column I), please complete Section 3. You should report all cases with days away from work (with or without job transfer or restriction). If you are reporting for a <u>private industry</u> establishment whose six-digit **NAICS code begins** with: 312, 452, 492, 562, 622, or 721, you should also report all cases with days of job transfer or restriction (without days away from work). Your NAICS code is located on the mailing label on the front of this booklet. To identify the individual cases to report, follow these steps:
  - Step 1: Go to your completed OSHA Form 300.

    Note each case that has a check in Column (H) and/or Column (I).

    These are the only cases you should report.

    See the illustration in Step 3 below.
  - **Step 2:** Fill out one Injury and Illness Case Form for each case that you identified in Step 1. You can find most of the information on a supplementary document such as the *Injury and Illness Incident Report* (OSHA Form 301), a workers' compensation report, an accident report, or an insurance form.
  - **Step 3:** If more than one establishment is noted on the front cover under "**Report for this Location**," be sure to look at all your OSHA Form 300's to find which cases to report.



- Step 4: We have designed this survey to ensure that you do not have to report more than approximately 16 cases. If you have significantly more than 16 cases, please go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State for assistance. If you need additional Injury and Illness Case Forms, you may either photocopy a blank form or go to Section 5: If You Need Help . . . at the back of this booklet and call the phone number(s) listed for your State.
- **Step 5:** When you are finished, proceed to Section 4: Contact Information on the back cover of this booklet and provide information for the person who completed this survey.

# **Injury and Illness Case Form**

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

<b>Tell us about the Case</b> Go to your completed OSHA Form 300.	Copy the case information	from that form into the	spaces below.	
1 0	Job title (Column C)	Date of injury or onset of illness (Column D)  / /16 month day year	Number of days away from work (Column K)	Number of days of job transfer or restriction (Column L)
Tell us about the Employee		Tell us about	the Incident	
or management staff  Sales  Product assembly, product manufacture  Repair, installation or service of machines, equipment  D C D D D D D D D D D D D D D D D D D	ealthcare elivery or driving ood service leaning, maintenance f building, grounds laterial handling (e.g. stocking, ading/unloading, moving, etc.) arming tional-check one or more)	document that answer  6. Was employee treat  7. Was employee host  8. Time employee beg  9. Time of event:  Event occurred: (of  10. What was the employee was using while carrying rood sprayer"; "daily constructed to the complex of	pitalized overnight as gan work:	an in-patient? yes am in-patient? yes am in-patient? yes am pm om OR Check if time cannobe determined during after work ship ore the incident occurred equipment, or material the oples: "climbing a ladder ring chlorine from hand yor illness occurred.
<b>NOTE:</b> You may either answer questions (3) to upplementary document that answers them.	(13) or attach a copy of a	replacement, we	orker developed soreik	333 III WIISt OVER TIME.
5. Employee's age:OR date of birth:  6. Employee's date hired://	r	was affected and h	ow it was affected; be Examples: "strained b	s the part of the body that more specific than "hurt," pack"; "chemical burn,
Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years  Employee's gender:				'radial arm saw." If this
Male Female  N P	S E	SS	00	o c

# **Injury and Illness Case Form**

Tell us about a 2016 work-related injury or illness **only** if it resulted in days away from work or job transfer/restriction. To find out which case(s) you should report, read the instructions at the beginning of *Section 3: Reporting Cases*.

<b>Tell us about the Case</b> Go to your completed OSHA Form 300. Cop	by the case information to	from that form into the	spaces below.	
Employee's name Jol	b title blumn C)	Date of injury or onset of illness (Column D)  / /16 month day year	Number of days away from work (Column K)	Number of days of job transfer or restriction (Column L)
Tell us about the Employee		Tell us about	the Incident	
or management staff  Sales  Product assembly, Clear product manufacture  Repair, installation or service  Mate	thcare very or driving service ning, maintenance nilding, grounds rial handling (e.g. stocking, tg/unloading, moving, etc.) ting	8. Was employee trea 9. Was employee hos 8. Time employee beg 9. Time of event:  Event occurred: (o  10. What was the em Describe the activi employee was usin while carrying roo sprayer"; "daily co	rs them.  Ited in an emergency pitalized overnight as gan work:	an in-patient?  yes  am in-patient?  yes  am  pm  om  OR  After work shift  ore the incident occurred?  equipment, or material the ples: "climbing a ladder ring chlorine from hand  of or illness occurred.  floor, worker fell 20 feet";
<b>IOTE:</b> You may either answer questions (3) to (13 applementary document that answers them.		`	Ŷ	ess in wrist over time."
Employee's age: OR date of birth: mon	_	was affected and h	ow it was affected; be Examples: "strained b	more specific than "hurt," back"; "chemical burn,
OR check length of service at establishment we occurred:  Less than 3 months From 3 to 11 months From 1 to 5 years More than 5 years	hen incident			'radial arm saw." If this
Employee's gender:  Male Female  P S	E	ss	OC	<u>c</u>

## **Section 4: Contact Information**

Fill in the name, title, and phone number of the person who completed this survey in case we have questions.				
	_( ) -		( ) -	
Printed name	Telephone number	Ext.	Fax number	

Use the return envelope to send us the **entire package** – everything that we sent you – within 30 days of the date your establishment received it. If the return envelope is missing, send the **entire package** to the return address on the front cover (look for *Address for Return Envelope*).

## Section 5: If You Need Help . . .

Title

If you have any questions or if you need help completing this survey, call the phone number(s) that is listed below for your State. The phone number(s) may be for an office outside your State, but they will be able to help you. If you prefer to write, send your letter to the return address on the front of this package.

prefer to write, send your letter to the return address on the front of this package.				
Alabama	Illinois	Nebraska	Rhode Island	
(334) 242-3461, 3463	(217) 524-2098	(402) 471-3547, 1545	(617) 565-2302	
(334) 242-2543 fax	(217) 558-4122 fax	(800) 599-5155	(617) 565-3847 fax	
Alaska	Ìndiana	(402) 471-6523 fax	South Carolina	
(907) 465-6034	(317) 232-2668	Nevada	(803) 896-7659, 7683	
(907) 465-4506 fax	(317) 233-3790 fax	(866) 931-1215	(803) 896-7670 fax	
Arizona	Ìowa	(702) 486-9187	South Dakota	
(602) 542-3739	(515) 725-5611	(702) 486-9175 fax	(312) 353-7253	
(602) 542-6360 fax	(515) 725-7924 fax	New Hampshire	(312) 353-7230 fax	
Arkansas	Kansas	(617) 565-2302	Tennessee	
(501) 682-4872	(785) 581-7479	(617) 565-3847 fax	(615) 741-1748	
(501) 682-4754 fax	(785) 296-2151 fax	New Jersey	(800) 778-3966	
California	Kentucky	(609) 292-8999	(615) 253-5501 fax	
(415) 703-3020	(502) 564-3312, 4105, 4259	(609) 633-0618 fax	Texas	
(415) 703-3029 fax	(502) 564-0539 fax	New Mexico	(866) 237-6405	
Colorado	Louisiana	(505) 476-8740	(512) 804-4652 fax	
(816) 285-7031	(225) 342-3126	(505) 476-8735 fax	Utah	
(972) 850-4812	(225) 342-3269 fax	New York	(801) 530-6926, 6823	
(972) 850-4810 fax	Maine	(888) 425-1323	(801) 536-7906 fax	
Connecticut	(207) 623-7903, 7904	(888) 807-0410 fax	Vermont	
(860) 263-6272	(207) 623-7937 fax	North Carolina	(802) 828-5985	
(860) 263-6263 fax	Maryland	(919) 733-2758	(802) 828-2195 fax	
Delaware	(410) 527-4460, 4461, 4462	(919) 733-2186 fax	Virgin Islands	
(302) 761-8221	(410) 527-4497 fax	North Dakota	(340) 776-3700 ext. 2019	
(302) 761-3221 (302) 762-3590 fax	Massachusetts	(312) 353-7253	(340) 715-5740 fax	
District of Columbia	(617) 626-6945	(312) 353-7230 fax	Virginia	
(202) 442-5930, 5926, 9010	(617) 626-6944 fax	Ohio	(804) 786-1995	
(202) 442-4833 fax	Michigan	(866) 569-7806	(804) 786-2376 fax	
Florida	(517) 284-7788	(614) 995-8608	Washington	
(215) 861-5628, 5638	(517) 284-7815 fax	(614) 728-6460 fax	(360) 902-5640	
(215) 861-5736 fax	Minnesota	Oklahoma	(360) 902-4249 fax	
Georgia	(888) 589-6322	(312) 353-7253	West Virginia	
(404) 656-7089	(651) 284-5726 fax	(312) 353-7230 fax	(304) 558-0212 ext. 3054	
(404) 656-0737, 0753, 0738	Mississippi	Oregon	(304) 558-1343 fax	
(404) 656-5529 fax	(404) 893-1934, 8344	(503) 947-7030	Wisconsin	
Guam	(404) 893-8343 fax	(503) 947-7312 fax	(800) 884-1273	
(671) 300-6339	Missouri	Pennsylvania	(608)-221-6293	
(671) 475-7060 fax	(573) 751-3802, 2719	(800) 238-9412	(608) 221-6297 fax	
Hawaii	(573) 751-2319 fax	(717) 705-4318 fax	Wyoming	
(808) 586-9001	Montana	Puerto Rico	(866) 518-6680	
(808) 586-9022 fax	(800) 541-3904	(787) 754-5300, ext. 3032,	(307) 473-3838	
Idaho	(406) 444-2638 fax	3036, 3051, 3056, 3057	(307) 473-3838 (307) 473-3863 fax	
(415) 625-2275, 2267	(100) 111 2000 141	(787) 754-5360 fax	(301) 413-3003 101	
(415) 625-2275, 2267 (415) 625-2356 fax		(101) 134-3300 lax		
(713) 023-2330 lax				